## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tai-Tung YIP, et al.

Title:

SERUM BIOMARKERS IN HEPATOCELLULAR

CARCINOMA

Int'l. Appl. No.:

PCT/US03/10489

Int'l. Filing Date:

April 7, 2003

Examiner:

Unassigned

Art Unit:

Unassigned

# UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

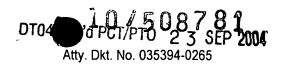
Sir:

Submitted herewith on Form PTO/SB/08 is a listing of the document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of the document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.



## RELEVANCE OF EACH DOCUMENT

The document being cited in the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding international application. A copy of the International Search Report is attached setting forth the portion of the document considered relevant by the examiner. A copy of the document cited in the Search Report is not being submitted herewith.

Applicants respectfully request that the listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

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MODIFIED PTO/SB/08 (08-00)
Approved ethrough 10/31/2002. OMB 0651-0031
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Substitute for form 1449B/PTO				Complete if Known 0 / E007 Q 4			
	INFORMATION DIS	SCLO	SURE	Application Number	Unassigned 10,000001		
STATEMENT BY APPLICANT				Filing Date	09/23/2004		
г	Date Submitted: Septe	mhar	23 2004	First Named Inventor	Tai-Tung YIP		
	Jale Submitted. Septe	inbei	23, 2004	Group Art Unit	Unassigned		
	(use as many sheets	as ne	cessary)	Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	035394-0265		

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*		U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,
	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	oreign Patent [ Number <sup>4</sup>	Document Kind Code <sup>5</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т <sup>6</sup>			
	A1	International Search Report			
- 1	A2	T.C.W. POON et al., "Proteome analysis and its impact on the discovery of serological tumor markers." Clinica Chimica Acta. 2001, Vol. 313, pgs. 231-239, entire document.			
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Examiner Signature	Date Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.